

15 DEC 1999

Mailed 199

12/17/99

Patent Application
 Commissioner for Patents
 Washington, District of Columbia 20231

135 U.S. PTO
 09/465336
 12/17/99

Please file the following enclosed patent application papers:

Applicant #1, Name: Vincent Ching Ping LiApplicant #2, Name: Raymond ChongTitle: Method For Charting Financial Market Activities☐ Specification, Claims, and Abstract: Nr. of Sheets 37☒ Declaration: Date Signed: 15 Dec. 1999☒ Drawing(s): Nr. of Sheets Enc.: Formal: 19 Informal: nil☒ Small Entity Declaration of Inventor(s) ☒ SED of Non-Inventor / Assignee / Licensee☒ Assignment enclosed with cover sheet and recordal fee; please record and return.☒ Check for \$ 618.- for:☒ \$ 578.- for filing fee (not more than three independent claims and twenty total claims are presented).☒ \$ 40.- additional if Assignment is enclosed for recordal.☐ Information Disclosure Statement, Form PTO-1449, and listed references.☐ Disclosure Document Program reference letter.☐ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____, filed _____.☒ Return Receipt Postcard Addressed to Applicant #1.☒ **Request Under MPEP § 707.07(j):** The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,

Applicant #1 Signature

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Applicant #2 Signature

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Express Mail Label #

; Date of Deposit 199

In the United States Patent and Trademark Office

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Box Patent Application

Assistant Commissioner for Patents

Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: Vincent Ching Ping Li

Applicant #2, Name: Raymond Chong

Title: Method For Charting Financial Market Activities

☐ Specification, Claims, and Abstract: Nr. of Sheets 37

☒ Declaration: Date Signed: 15 DEC 1999

☒ Drawing(s): Nr. of Sheets Enc.: Formal: 19 Informal: nil

☒ Small Entity Declaration of Inventor(s) ☒ SED of Non-Inventor / Assignee / Licensee

☒ Assignment enclosed with cover sheet and recordal fee; please record and return.

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☐ Information Disclosure Statement, Form PTO-1449, and listed references.

☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. _____, filed _____.

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Very respectfully,

Vincent Ching Ping Li
Applicant #1 Signature

Raymond Chong
Applicant #2 Signature

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Fee Transmittal

First-Named Applicant Vincent Ching Ping Li
Title of Invention: " Method For Charting Financial Market Activities
Total Payment Enclosed (From Calculation Below): \$ 578.- ☐ Check ☒ Money Order

Sir:

Enclosed is the following small entity filing fee for the above patent application:

Fee Code	Fee Description	Fee (\$)
214	Provisional Pat. Appn. Filing Fee	
201	Basic Utility Appn. Filing Fee	<u>380.-</u>
206	Basic Design Appn. Filing Fee	
	Subtotal (1)	<u>380.-</u>
203	Total Claims: <u>42</u> - 20 = <u>22</u> ; X <u>9</u> (fee for each claim over 20) = <u>198.-</u>	
202	Tot. Indep. Claims <u> </u> - 3 = <u> </u> ; X <u> </u> (fee for each indep. claim over 3) = <u> </u>	
	Subtotal (2)	<u>198.-</u>
	Total Payment Enclosed [Sum of Subtotals (1) and (2)]	<u>578.-</u>

Very respectfully,

Vincent
Signature of First-Named Applicant

Vincent Ching Ping Li
Print Name of First-Named Applicant

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